

T.Y.B. Com (Financial Markets) Sem V
 choiced Based.

15

Time: 2.30 hours

10.30 to 1.00

22/11/2019.

Marks: 75

- NB: 1. All questions are compulsory.
 2. Figures to the right indicates full marks.

Q.1. fill in the blanks. (Any 08) 08

1. ----- is also known as equity dividend
2. ----- Research is also called as fundamental research.
3. ----- corporate action where the face value of the existing share is reduced in a defined ratio
4. in BCG analysis, ----- are the segments which requires low cash infusion for investment to maintain market share because of low growth prospects
5. ----- enable investors and transact in securities in the dematerialisation form
6. ----- are entities registered with SEBI and act as issue managers, investment bankers, lead managers
7. ----- of shares refers to the Permanent removal of the share of a company from being listed on a stock exchange
8. ----- share represents same degree of ownership in a company but usually come with different voting right
9. ----- research is conducted when the cause of research problem is known to the researcher.
10. ----- issue of shares are given to the existing shareholders.

Q.1.B.Match the following. (Any 07) 07

GROUP A		GROUP B	
1	Hard underwriting	A	Bases on statistics
2	Interim dividend	B	legislation and policy
3	economic factor	C	Commitment at initial stage of IPO
4	banking	D	During the financial yea
5	right issue	E	monetary policy and fiscal policy
6	Depository Participant	F	net interest income
7	merger and acquisition	G	issue to existing share holder
8	technical analysis	H	agent of depositories
9	Descriptive Research	I	change in ownership structure
10	political factor	J	price Trend

- Q.2 A Importance of research in financial market. (8)
B Write a note on Features of a good research design (7)

OR

- P Elaborate on Any two types of research (8)
Q Mention the Steps in formulation of research problems (7)

- Q.3 A Itemize the various Market participants of market (8)
B What are the Responsibilities of research analyst (7)

OR

- P Write a note on Fundamental analysis (8)
Q Enumerate the Qualities of research analyst (7)

- Q.4 A State the Key industry drivers and sources of information for industry analysis (8)
B Write a note on Michael Porters' 5 force model (7)

OR

- P State the Key points to be considered before investing (8)
Q Elaborate on BCG analysis (7)

- Q.5 A Itemize in your view the Success factor of a company (8)
B Explain about Compliance orientation (7)

OR

- Q.5 Write a short note on the following (Any 3) (15)

- A Principals of macro economics
B Descriptive research
C Classification of stocks
D Technical analysis
E SWOT analysis of a company
