

**NOTE** 1. All questions are compulsory  
2. Figure to the right indicate full marks

# Equity Markets

- Q.1.A Match the Columns (Any 8)** **8**
- |                           |  |
|---------------------------|--|
| a. Primary market         | 1. Banks                                 |
| b. Sweat Equity           | 2. Members of Stock exchange             |
| c. Red herring Prospectus | 3. Deals with maturity less than 1       |
| d. FCCB                   | 4. Investor Protection                   |
| e. ADR                    | 5. Intermediary between buyer and seller |
| f. RBI                    | 6. New issue market                      |
| g. Jobber                 | 7. Issue share at discount               |
| h. Money Market           | 8. Upper and lower price band            |
| i. SEBI                   | 9. Foreign currency convertible bond     |
| j. Brokers                | 10. Depository Receipt issued in USA     |
- Q.1.B State whether True or False ( Any 7)** **7**
1. The IPO process is govern by RBI
  2. Bulls are people who believe market will go down
  3. IPO helps in listing of securities
  4. BSE was established in 1875
  5. BSE – Sensex consist of 30 stock
  6. Price in Primary market are determined by market forces
  7. Stock exchange is also referred as Organised stock market
  8. A right issue is when company issue its existing shareholder a right to buy additional shares in company
  9. Investors interest are very much protected by stock exchange
  10. RBI is queen bee of financial system
- Q.2.a. Explain Role of Capital Market.** **8**
- b. What are the functions of SEBI? **7**
- OR**
- c. What are the classes of stock? **8**
- d. What are the characteristics of Equity shares? **7**
- Q.3.a. Who are the intermediaries of Primary market** **8**
- b. What are the features of Primary Market **7**
- OR**
- c. Explain the IPO process **8**
- d. Explain ADR **7**
- Q.4.a. Difference between primary market and secondary market** **8**
- b. Benefits of stock exchange to investor and company **7**
- OR**
- c. Explain methods of Technical Analysis **8**
- d. What are the category of shares traded in NSE **7**
- Q.5.a. Explain role of retail investor** **8**
- b. Need for strengthening of Equity market **7**
- OR**
- Q.5. Write short note (any 3)** **15**
- a) ASBA
  - b) Right issue
  - c) IDR
  - d) Green Shoe
  - e) Private Placement