

26/4/19

2:30 TO 5:00 PM

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SYBBI SEM IV Regular Exam
[Time: Hours] April 2019

Please check whether you have got the right question paper.

N.B:

Q.1 A) State whether the following statements are true or false (any **eight**) **08**

1. To form a company, Registration is compulsory.
2. The minimum requirement is only one member to form OPC.
3. The liability of the members of the company is unlimited.
4. Securities contracts (Regulation) Act established in the year 1960.
5. Demutualization means the segregation of ownership and management.
6. SEBI came into existence in the year 1994.
7. The main object of SEBI is to protect interest of Investors.
8. Prohibiting insider trading in securities is one of the important powers of the SEBI.
9. The conversion of paper based securities into electronic form is known as dematerialization of shares.
10. NSDL is not a depository.

B) Match the column (any **seven**) **07**

A	B
1. Companies Act	a. Shares
2. Ultra virus	b. Object clause
3. Security	c. Stock exchange
4. M/A	d. Beyond the power
5. Listing	e. 2013
6. SEBI	f. Existing shareholder
7. Advertisement	g. Depository
8. Rights Issue	h. Electronic form of shares
9. NSDL	i. Newspaper
10. De-mat	j. 1992

Q.2 A) Define company. State the features of company. **15**

OR

B) Discuss procedure for Registration of a company. **08**C) Explain Name clause and Registered office clause of memorandum of association. **07**Q.3 A) Write a note on Articles of Association. **08**B) Discuss the procedure for corporatization and Demutualization. **07**Q.3 Explain: a) Documents required for listing under SCRA, **15**
b) Consequence of Non-ListingQ.4 Discuss the following terms under SEBI **15**

- a) Mutual Funds b) Brokers c) Portfolio manager
d) under writer e) Debenture Trustee

OR

Q.4 Explain power and functions of SEBI in detail. **15**Q.5 A) Explain the working of Depository System. **08**B) Discuss the power of Depositories to make Bye-Laws. **07**

OR

Q.8 Short Notes on any two out of four.

15

- (a) Types of Company
- (b) Doctrine of ultra-virus
- (c) Derivatives
- (d) Objectives of SEBI
- (e) NSDL
